



# Stability Conditions for the Horndeski Scalar Field Gravity Model

Cláudio Gomes

CF-UM-UP

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- ① Why not GR?
- ② Horndeski Gravity
- ③ Energy in Gravity
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# 1 GR got some serious injuries...

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## Successes:

- ▶ Perihelion precession of Mercury's orbit; deflection of light by the Sun; gravitational redshift of light;
- ▶ Incredible match with Solar System constraints;
- ▶ "Accurate" black hole shadows;
- ▶ Metric Tensor Perturbations at speed of light (LIGO, Virgo collaborations);
- ▶ ...

## Bruises:

- ▶ Singularities;
- ▶ Dark Matter and Dark Energy;
- ▶ Quantum version (seems incompatible with QM);
- ▶ Cosmological Constant;
- ▶ ...

## Bandages and some ibuprofen:

- ▶ DE is a cosmological constant and DM is cold (beware of colds nowadays!);
- ▶ Singularities shall be solved once full quantum gravity is found (sometime, somewhere, someone else's);
- ▶ It matches most of the observations (so did Newton's theory for several centuries)
- ▶ GR is beautiful, hence leave it that way!

## Surgeries:

- ▶ Look for theories beyond GR;
- ▶ How to "change the gravity" of this situation?
  - > Modify the pure gravity sector of Einstein's field equations (e.g.  $f(R)$ );
  - > Modify the matter content sector of Einstein's field equations (e.g. DM, DE);
  - > Both (e.g. Non-minimal matter-curvature couplings).
- ▶ ...

# 1 Wedding Proposals (Gravity Theory and Observations)

Several Alternative Theories of Gravity have been proposed in the literature, namely:

- ▶ Horndeski/Generalised Galileon (additional scalar field);
- ▶ Further properties of spacetime (torsion, non-metricity);
- ▶ Non-minimal couplings ( $f(R, L)$ ,  $f(R, T)$ ,  $f(R, \mathcal{R}), \dots$ );
- ▶ Loop Quantum Cosmology;
- ▶  $p$ -forms;
- ▶ ...

Our focus here: [Horndeski gravity](#).

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## 2 Gregory Horndeski, the... painter?

- ▶ 1974: proposes a ghost-free scalar theory up to second order derivatives.
- ▶ 1976: extends the model relying on an Abelian vector field whose action is invariant under  $U(1)$  transformations (in flat spacetime = Einstein-Maxwell action).
- ▶ His works remain ignored. Switches career to... painter!
- ▶ 2014 emerges a different formulation of his theory: Generalised Galileon theories.
- ▶ Unaware of his success until a PhD student asked him for a painting for thesis on his gravity model.
- ▶ Contains: GR, Brans-Dicke, Quintessence, Dilaton, Chameleon, covariant Galileon...





## 2 Horndeski and Galileons

**Classical Galileon:** is a field,  $\pi$ , which obeys to a Galilean symmetry  $\pi \rightarrow \pi + b_\mu x^\mu + c$  ( $\mu$ ,  $c$  constant 4-vector and scalar).

**Covariant Galileon:** breaks Galileon symmetry, but gives origin to field equations of order non higher than two in the spacetime derivatives, in such way that both classical and quantum pathologies are absent [Deffayet et al, 2011,2013].

**Equivalence:** Horndeski scalar gravity and Generalised Galileon Gravity are equivalent to each other at least in four dimensions [Kobayash et al, 2011].

After GW170817:

$$S = \int d^4x \sqrt{-g} \left[ \sum_{i=2}^5 \mathcal{L}_i + \mathcal{L}_M \right], \quad (1)$$

where  $g$  stands for the metric determinant,  $\mathcal{L}_M$  is the matter Lagrangian density and  $\mathcal{L}_i$  are the Horndeski Lagrangian densities defined as:

$$\mathcal{L}_2 := G_2(\phi, X), \quad (2)$$

$$\mathcal{L}_3 := G_3(\phi, X) \square \phi, \quad (3)$$

$$\mathcal{L}_4 := -G_4(\phi) R, \quad (4)$$

$$\mathcal{L}_5 := G_5^{(0)} G_{\mu\nu} \nabla^\mu \nabla^\nu \phi, \quad (5)$$

where  $G_i(\phi, X)$  are arbitrary functions of the scalar field,  $\phi$ , and its kinetic term,  $X := \frac{1}{2} \nabla_\mu \phi \nabla^\mu \phi$ ,  $R$  is the scalar curvature, and  $G_{\mu\nu}$  is the Einstein tensor.

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### 3 The Energy Problem in Gravity

**Energy:** In GR, the gravitational field has no local energy-momentum density. However, for asymptotically flat space-times there is a unique total energy-momentum four vector which is conserved. Still some freedom on the choice: ADM mass, Komar's mass or Bondi mass.

**Schoen and Yau, 1979:** A lengthy proof of the conjecture that regular space-times with physically reasonable matter would have positive total energy.

**Witten, 1981:** Elegant demonstration. Stability of supersymmetric solutions of supergravity theories. Ground state of the  $N=1$  SUGRA, Minkowski space is stable both classically and semiclassically.

### 3 Witten's version of The Positive Energy Theorem

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It can be shown that the total energy-momentum tensor for an asymptotically flat space-time is given in terms of the connection by [Nester 1981]:

$$16\pi GV^\lambda p_\lambda = - \oint_S V^\lambda \delta_{\mu\nu\lambda}^{\sigma\alpha\beta} g^{\nu\delta} \Delta \Gamma_{\delta\beta}^\mu \frac{1}{2} dS_{\sigma\alpha} , \quad (6)$$

which can be recast, resorting to a two-form

$E^{\sigma\alpha} = 2 (\bar{\epsilon} \Gamma^{\sigma\beta} \nabla_\beta \epsilon - \nabla_\beta \bar{\epsilon} \Gamma^{\sigma\alpha\beta} \epsilon)$ , with  $\epsilon$  being the Dirac spinor,  $V^\mu = \bar{\epsilon}_0 \gamma^\mu \epsilon_0$  with  $\gamma^\mu$  the Dirac's matrices, as:

$$16\pi GV^\lambda p_\lambda = \int_\Sigma \nabla_\alpha E^{\sigma\alpha} d\Sigma_\sigma \quad (7)$$

For any supersymmetric theory [Gibbons et al, 1983]:

$$\hat{\nabla}_\alpha E^{\sigma\alpha} = 2G_\alpha^\sigma \bar{\epsilon}^i \gamma^\alpha \epsilon^i + 4\overline{\hat{\nabla}_\alpha \epsilon^i} \Gamma^{\sigma\alpha\beta} \hat{\nabla}_\beta \epsilon^i + \overline{\delta\chi^a} \gamma^\sigma \delta\chi^a, \quad (8)$$

### In General Relativity

$G_{\mu\nu} \propto T_{\mu\nu}$ , the positiveness of the integrand is ensured provided the energy-momentum tensor of matter fields satisfies the dominant energy condition,  $\bar{\epsilon}_0^i \gamma^\alpha \epsilon_0^i$  is non-spacelike, and the Witten condition  $\gamma^\lambda \hat{\nabla}_\lambda \epsilon^i = 0$  is chosen.

For nonsupersymmetric theories [Boucher,1984]:

$$\hat{\nabla}_\alpha \epsilon^i := \nabla_\alpha \epsilon^i + \frac{i}{2} \hat{k} \gamma_\alpha f_1^{ij} \epsilon^j, \quad \delta\chi^a = i\gamma^\lambda \nabla_\lambda \phi f_2^{ai} \epsilon^i + f_3^{ai} \epsilon^i. \quad (9)$$

For scalar field nonminimally coupled to gravity [Bertolami, 1987].

For noncommutative scalar field coupled to gravity [Bertolami, Zarro, 2008].

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We found that:  $G_3 = G_3(\phi)$  and:

$$\left\{ \begin{array}{l} G_{2X}(\phi, X)\delta^{ij} = (4G_{3\phi}(\phi) - 6G_{4\phi\phi}(\phi))\delta^{ij} \\ 24(\hat{\kappa}(\phi)f_1(\phi, X)^{ij})^2\delta^\sigma_\alpha = f_3(\phi, X)^{ai}f_3(\phi, X)^{aj}\delta^\sigma_\alpha + \\ \quad + 2\hat{\kappa}(\phi)(G_2(\phi, X)\delta^\sigma_\alpha + 2G_{4\phi}(\phi)\square\phi\delta^\sigma_\alpha - 2G_{4\phi}(\phi)\nabla^\sigma\nabla_\alpha\phi)\delta^{ij} \quad , \quad (10) \\ 4(\hat{\kappa}(\phi)f_1(\phi, X)^{ij})_\phi = f_2(\phi, X)^{ai}f_3(\phi, X)^{aj} + f_2(\phi, X)^{aj}f_3(\phi, X)^{ai} \\ f_2(\phi, X)^{ai}f_2(\phi, X)^{aj} = 2\hat{\kappa}(\phi)(-G_{3\phi}(\phi) + 2G_{4\phi\phi}(\phi))\delta^{ij} \end{array} \right.$$

Together with the boundary condition (by inspection of the N=1 SUGRA):

$$f_1^{ij}(\phi_0) = \sqrt{\frac{G_2(\phi_0)}{6G_4(\phi_0)}}\delta^{ij} . \quad (11)$$

Zero energy states are found to be stable.



### Attractive Gravity

$$\hat{\kappa} > 0, \quad (12)$$

which is also satisfied by inspecting both numerator and denominator of the speed of sound for avoiding of ghost and gradient instabilities, i.e.,  $G_4(\phi) > 0$ .

**Dolgov-Kawasacki instabilities** The trace of the field equations can allow for a dynamical equation for  $R$ , hence the associated “squared mass” can be non-positive. For the viable models of Horndeski scalar gravity an algebraic equation if found, hence no DK instability is expected.

$$R = -\frac{1}{2G_4} (T + \hat{T}) . \quad (13)$$

## 4 Cosmological implications

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**Inflation** In the absence of matter fields, Horndeski viable models should behave as:

$$H^2 = \frac{8\pi G}{3} V_{\text{eff}}(\phi) , \quad (14)$$

with  $V_{\text{eff}} = G_2(\phi)/G_4(\phi)$  (in slow-roll).

**Cosmological Constant** Upon a suitable identification with N=1 SUGRA, the cosmological constant arises from:

$$\Lambda = \frac{G_2(\phi)}{2G_4(\phi)} , \quad (15)$$

together with the solutions  $f_1(\phi) = 2\sqrt{\frac{\Lambda}{3}} G_4(\phi)$ ,  $G_3(\phi) = \frac{3}{2} G_{4\phi\phi}(\phi)$  and  $f_2(\phi) = \frac{G_{3\phi}(\phi)}{3G_4(\phi)}$ .

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## 5 Final Remarks and Work in Progress

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- ▶ Horndeski scalar gravity model as an alternative theory of gravity
- ▶ Witten's theorem applied to Horndeski gravity
- ▶ Stability criteria

**Work in Progress [to appear soon]:** Analysis of Degenerate Higher-Order Scalar-Tensor theories (DHOST).

どうしてDHOST?

Extension of Horndeski (and Beyond Horndeski) theories up to cubic terms, although not involving ghost instabilities.

Thank you for your attention!